To: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450



## **NEW APPLICATION TRANSMITTAL - UTILITY**

Sir:			0				
Trans	mitted her	rewith for filing is a utility patent application:					
	Invento	or(s): Jim E. Newton, Christian Scheiba					
	Title:	ENCAPSULATING PARAMETERIZED CELLS (PCELLS)					
I.	PAPER	S ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):					
II.	4 F 1 F 7 S	Page(s) of Written Description Page(s) Claims Page(s) Abstract Sheets of Drawings					
•		Declaration  Power of Attorney Separate Combined with Declaration  Assignment to and assignment cover sheet  Certified Copy of Priority Document No(s):  Information Disclosure Statement w/PTO 1449 Copy of Citations  Preliminary Amendment  Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must at form PTO/SB/35 (1 pg.)  Return Postcard  Other: Title Page (1 pg.) and Fee Transmittal (in duplicate)	tach				
III.	. PRIORITY						
	_	Priority of application Serial No. <u>60/394,108</u> , filed on <u>July 2, 2002</u> is claimed un 35 U.S.C. § 119.	nder				
IV.		LING FEE HAS BEEN CALCULATED AS SHOWN BELOW: Applicant claims small entity status pursuant to 37 CFR § 1.27					

	BASIC	FILING FEE:						\$750.00			
	Total Claims	15	- 20	=	0	х	\$18.00	\$0.00			
	Independent Claims	3	- 3	=	0	х	\$84.00	\$0.00			
	Multiple Dependent Claims		(if applica	ble)				\$0.00			
	TOTAL OF ABOVE CALCULATIONS										
	Reduction by ½ for Filing by §§ 1.9, 1.27, 1.28.	y Small Entity, if appl	icable, as as	serte	l abov	e. No	ote 37 CFR	\$0.00			
	Misc. Filing Fees		_					\$0.00			
	TOTAL	FEES DUE HE	REWITH					\$750.00			
V.	METHOD OF PAYME	ENT OF FEES									
•	A check in the ar										
		•	: A	·	NT.	0.25	10	.i b.:111:			
	_ 5 5	McCutchen's Dep		ount .	No. 3	0-25	18, referenc	ing billing			
		in the amount of		_			1 05 050	0.1.50			
		is being filed with		Dec.	laratı	on ur	ider 37 CFR	§ 1.53.			
VI.	AUTHORIZATION TO CHARGE FEES										
	The Commissioner is authorized to credit any overpayment and to charge any underpayment										
	to Bingham McCutchen's Deposit Account No. 50-2518 for the following:										
	37 CFR § 1.16 − (Filing fees and excess claims fees)										
	<b>⊠</b> 37 CFR § 1.17 – (Any application processing fees)										
	=	(Assignment reco	_	_							
	_	`	•	,							
VII.	CORRESPONDENCE ADDRESS										
	Please send all correspondence to Customer Number 23639:										
	BINGHAM McCUTCHEN LLP										
			Embarca	dero	Cent	er					
	Suite 1800 San Francisco, CA 94111-4067										
	(650) 849-4400										
	Please direct all inquiries to <b>Peter C. Mei</b> , at the above customer number.										
			R	espe	ctfull	y sul	omitted,				
				BIN	GHA	M M	(cCUTCHE)	N LLP			
	·		,	<b>\</b> 11	,	ı	a				
Dated:	July 2, 2003		By: Juffey A. Smith								
			•	Jeffi	rey S	Smi	th				
				Reg	. No.	39,3	77				

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL FOR FY 2003** 

Effective 01/01/2003. Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

Signature

\$750.00

Application Number	Filed Herewith
Filing Date	July 2, 2003
First Named Inventor	Jim E. Newton et al.
Examiner Name	Not yet assigned
Art Unit	Not yet assigned
Attorney Docket No.	CA7020002001

Complete if Known

METHO	DD OF PAYMENT	FEE CALCULATION (continued)					
1. X The Commissioner i	3. ADDITIONAL FEES						
— lees and credit any t		Entity	Small	Entity			
Deposit Account Number		Large Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit Account Bingham M	McCutchen LLP					·	
Name		1051	130	2051	65	Surcharge - late filling fee or oath	
Charge Any Additional	Fee Required Under 37 CFR 1.16 and 1.17	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Applicant claims small	entity status. See 37 CFR 1.27	1053	130	1053	130	Non-English specification	
2. Payment Enclosed	<b>i</b> :	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
□ Check □ Credit Ca	ard   Money Order   Other	1804	920*	1804	920*	*Requesting publication of SIR prior to Examiner action	
FEE (	CALCULATION	1805	1,840*	1805	1,840*	*Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE		1251	110	2251	55	Extension for reply within first month	
		1252	410	2252	205	Extension for reply within second month	
Large Entity Small Fee Fee Fee Code (\$) Code	Entity Fee Fee Description (\$) Fee	1253	930	2253	465	Extension for reply within third month	
- Code (4) Code	Paid	1254	1,450	2254	725	Extension for reply within fourth month	
1001 750 2001	375 Utility filing fee \$750	1255	1,970	2255	985	Extension for reply within fifth month	
1002 330 2002	165 Design filing fee	1401	320	2401	160	Notice of Appeal	
1003 520 2003	260 Plant filing fee	1402	320	2402	160	Filing a brief in support of an appeal	
1004 750 2004	375 Reissue filing fee	1403	280	2403	140	Request for oral hearing	
1005 160 · 2005 ′	80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	,
		1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) \$750			1,300	2453	650	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	Extra	1501	1,300	2501	650	Utility issue fee (or reissue)	
	Claim Fee from Fee Paid s	1502	470	2502	235	Design issue fee	
Total Claims 15 - 20 =	0 x 18 = \$0	1503	630	2503	315	Plant issue fee	
Independent 3-3 =	$0 \times 84 = $0$	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent = \$			50	1807	50	Processing fee under 37 CFR 1.17(q)	
	•	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity Small Fee Fee Fee Code (\$) Code	Entity Fee Fee Description (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of	
		,				properties) Filing a submission after final	
1202 18 2202	9 Claims in excess of 20	1809	750	2809	375	rejection (37 CFR § 1.129(a))	
1201 84 2201	42 Independent claims in excess of 3	1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 280 2203	140 Multiple dependent claim, if not paid	1801	750	2801	375	Request for Continued Examination (RCE)	
1204 84 2204	42 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205					-, ··· -		
	Other fee (specify)						
** or number previously paid, if g	*Reduced	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$ 0					
SUBMITTED BY				·····	Complete (if applicable)		
Name (Print/Type)		stration No		39,377	Telephone (650) 849-	4422	

(Attorney/Agent)

Date

July 2, 2003

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor			Jim E. Newton et al.						
	Title	ENCAPSULATING PARAMETERIZED CELLS (PCELLS)							
	Attorr	ney Docket Numbe	CA7020002001						

I hereby certify that the invention disclosed in the attached application has not and will not be the subject ofan application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Date

July 2, 2003

Signature

(650) 849-4422 Jeffrey S. Smith, Reg. No. 39,377

Telephone number Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).** 

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.